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PETITION FOR REVIOAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)	Docket Number (Optional) 4002-2236			
First Named Inventor Coates				
Application No. <b>09/448,086</b>	Art Unit 3738			
Filed November 23, 1999	Examiner Isabella			
Title BONE GRAFTS				
Attention: Office of Petitions  Mail Stop Petition	RECEIVED			
Commissioner for Patents PO Box 1450	APR <b>2 0</b> 2 <b>0</b> 05			
Alexandria, VA 22313-1450	055105 05 0551010			
Fax (703) 872-9306	OFFICE OF PETITIONS			
Note: If information or assistance is needed in completing the Petitions Information at (703) 305-9282.	is form, please contact			
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus any extensions of time actually obtained.				
APPLICANT HEREBY PETITIONS FOR REVIVAL OF	THIS APPLICATION			
Note: A grantable petition requires the following items:  (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995, and for all designed applications; and (4) Statement that the entire delay was unintentional.				
1. Petition fee				
<ul> <li>☐ Small-entity fee \$ (37 CFR 1.17(mm)). Applicant claims small entity status.</li> <li>☐ Small-entity fee \$ (37 CFR 1.17(mm)).</li> <li>☐ Other than small-entity fee \$_1,500 (37 CFR 1.17(mm)).</li> </ul>				
2. Reply and/or fee				
<ul> <li>A. The reply and/or fee to the above-noted Office Action in the (identify type of reply)</li> <li>☐ has been filed previously on</li> <li>☒ is enclosed herewith.</li> </ul>	ne form of <u>Exhibits A &amp; B</u>			
<ul> <li>B. The issue fee and publication fee (if applicable) of \$</li> <li>has been paid previously on</li> <li>is enclosed herewith.</li> </ul>				

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3.	Terminal disclaimer with disclaimer fee:				
	<ul> <li>Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.</li> <li>☐ A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO SB/63).</li> </ul>				
4.	STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing of a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)).]				
	WARNING: Information on this form may become should not be included on this form. Provide cauthorization on PTO-2038.	me public. Credit card information redit card information and			
	Clames B. Museus	April / 4, 2005			
	Signature	Date			
_	/ James B. Myers	42,021			
	7 Typed or Printed Name	Registration Number, if applicable			
	Woodard, Emhardt, Moriarty, McNett & Henry LLP Bank One Center/Tower 111 Monument Circle, Suite 3700 Indianapolis, IN 46204-5137 (317) 634-3456				
	Address	Telephone			
En	Enclosures:   ☐ Fee Payment (PTO-2038 Credit Card) ☐ Reply ☐ Terminal disclaimer form ☐ Additional sheets containing statements establishing unintentional delay ☐ Other: Statement in Support of Petition, Request for Continued Examination, return receipt postcard				
	CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]				
I hereby certify that this correspondence is being:  Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.					
	Transmitted by facsimile on the date shown below to the to (703) 872-9306.	United States Patent and Trademark Office at			
	April 14, 2005	B. Muses			
	Date	Signature			
	/ James B. Myers				
	/ Typed or p	printed name of person signing certificate			

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Date

April /4/, 2005

APR 1 8 2005	An	proved for use three	WENMM/le SB/17 (12-04)	
Under the Paperwork Reduction Act of \$195, no persons are required to re-	U.S. Patent and Trad	demark Office: U.S	ough 07/31/2006. OMB 0651-0032 DEPARTMENT OF COMMERCE	
Effective 72/08/2004.	spond to a collection of infon	Complete if K		
· Eri <del>ccivis on 1</del> 2/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	Application Number	09/448,086		
FEE TRANSMITTAL	Filing Date	November 23, 1	999	
For FY 2005	First Named Inventor	Coates	RECEIVED	
FOI F1 2003	Examiner Name	Isabella	ADD 0 0005	
Applicant claims small entity status. See 37 CFR 1.27	Art Unit '	3738	APR <b>2 0</b> 2005	
TOTAL AMOUNT OF PAYMENT (\$) 2420	Attorney Docket No.	4002-2236	OFFICE OF PETITIONS	
METHOD OF PAYMENT (check all that apply)				
Check Credit Card Money Order	None	Other (please	e identify):	
Deposit Account Deposit Account number: 23-3030 Deposit Account	Name: Woodard, Emhare	dt, Moriarty, Mcr	nett & Henry Lip	
For the above-identified deposit account, the Director is hereby authorize	zed to: <i>(check all that appl</i>	<b>'y)</b>		
Charge fee(s) indicated below	Charge fee(s) in	dicated below, ex	ccept for the filing fee	
Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	Credit any overp	payments to the a	bove-identified deposit account.	
WARNING: Information on this form may become public. Credit card information and authorization on PTO-2038.	information should not	be included on	this form. Provide credit card	
FEE CALCULATION				
1. BASIC FILING, SEARCH, AND EXAMINATION FEES				
FILING FEES SEARCH FE	ES EXAMINA	TION FEES		
	ll Entity : <u>e (\$)                                   </u>	Small Entity Fee (\$)	Fees Paid (\$)	
Utility 300 150 500 2 Design 200 100 100	250 200 50 130	100 65		
Plant 200 100 300 1	50 160 250 600	80 300		
Provisional 200 100 0	0 0	0		
2. EXCESS CLAIM FEES				
Fee Description			Small Entity Fee (\$) Fee (\$)	
Each claim over 20 or, for Reissues, each claim over 20 and more than Each independent claim over 3 or, for Reissues, each independent clai	in the original patent m more than in the original	patent	50 25 200 100	
Multiple dependent claims	-	ependent Claims	360 180	
Total Claims Extra Claims Fee (\$) Fee Paid (\$)  -20 or HP =-20 x =0		Fee Paid (\$)	-	
-20 of HP =-20 x =0 HP = highest number of total daims paid for, if greater than 20	х	= <u>0</u>		
Independent Claims Extra Claims Fee (\$) Fee Paid (\$)				
-3 or HP =-3 x =0 HP = highest number of independent claims paid for, if greater than 3				
3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 419a)(1)(G) and 37 C.F.R. 1.16(s).				
Total Sheets Extra Sheets Number of each additional		Fee (\$)	Fee Paid (\$)	
<u>100</u> = <u>-10</u> /50 = <u>-2</u> (round up to a whole	number)	x	0	
4. OTHER FEE(S) Request For Continued Examination			Fee Paid (\$)	
Petition To Revive An Application For Patent Abandoned U Terminal Disclaimer	nintentionally		790 1500	
Terminal Discialmer			130	
SUBMITTED BY				
	egistration No. ttorney/Agent) 42,021	Telephone	(317) 634-3456	
Name (Print/Type) James B. Myers	-4:3-171	Date	April /#, 2005	

Doc #340297

IN ATHE UNITED STATES PATENT AND TRADEMARK OFFICE application of:

In re patent application of:

Bradley J. Coates et al.

Serial No. 09/448,086

Filed: November 23, 1999

BONE GRAFTS

DEXaminer

Isabella

Group Art Unit

3738

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APR 2 0 2005

OFFICE OF PETITIONS

STATEMENT IN SUPPORT OF PETITION

Mail Stop Petition Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In this Statement, the undersigned respectfully requests that the Commissioner withdraw the holding of abandonment for the above-identified application pursuant to his authority under 37 CFR §1.137(b). Authorization to charge the Statutory Fee for the Petition to Revive and the RCE is provided in the accompanying form PTO-2038. Please charge any additional fees or refund any overpayments to deposit account 23-3030, but do not include the payment of any issue fees that are or may become due.

Certificate of Mailing under 37 CFR 1.8		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:  April 2005		
Date		
Date		
Clamer B. Museur		
Signature		
James B. Myers		
Typed or Printed Name		
42,021		
Registration No.		
(317) 634-3456		
Telephone Number		
L		

#### Statement Of The Facts

The undersigned declares and states as follows:

- 1. A US Patent Application Serial No. 09/448,086 ("the subject application") was filed on November 23, 1999 and claimed priority to prior US Patent Application Serial No. 08/948,135 (now US Patent No. 5,989,289) which is a Continuation of 08/902,937 (now abandoned) which is a Continuation-in-Part of 08/740,031 (now abandoned) which is a Continuation-in-Part of 08/603,676 (now abandoned) which is a Continuation-in-Part of 08/543,563 (now abandoned) which is a Continuation-in-Part of 08/543,563 (now abandoned).
- 2. During prosecution of the subject application, an Office Action Made Final and dated August 14, 2001 was received. In that Office Action, claims 1-19 were rejected under a non-statutory double-patenting rejection over US Patent No. 5,989,289, the patent issued from the parent application of the subject application.
- 3. In response, the undersigned attorney signed and submitted an Amendment and Response to Final Office Action under 37 CFR 1.116 with a certificate of mailing pursuant to 37 CFR 1.8 dated November 14, 2001 and requested Expedited Examination Procedure ("the Response"). In addition to the Response, the undersigned attorney signed and submitted a Terminal Disclaimer. True and accurate copies of the Response and the Terminal Disclaimer with attachments are appended to this petition as Exhibit A.
- 4. A Notice of Abandonment for the subject application dated May 1, 2002 was received. The Notice of Abandonment stated: a. that the Response was received on January 17, 2002, and b. that the Terminal Disclaimer filed concurrent with the amendment was not proper since the person who signed the Terminal Disclaimer is not listed as an attorney of record.
- 5. It is noted that the undersigned attorney signed and submitted on Information Disclosure Statement, a Response to First Office Action, a Response to Final Office Action. and the Terminal Disclaimer pursuant to 37 CFR §1.36(a).
- 6. Further, it is noted that the US Patent and Trademark Office acknowledged receipt of the Response and Terminal Disclaimer on <u>January 17, 2002</u>, approximately one month prior to the end of the statutory period for responding to the Office Action. The undersigned attorney actually submitted the Response and Terminal Disclaimer on <u>November 14, 2001</u> within the three-month shortened statutory period for replying to the Final Office Action. The

Response and Terminal Disclaimer included a certification by the undersigned attorney under 37 CFR §1.8.

- 7. The undersigned attorney never received an Advisory Action in this case apprising him of any deficiencies in the Response to Final Office Action.
- 8. The undersigned submitted a Petition to Withdraw the Holding of Abandonment on June 20, 2002, which requested withdrawal of the holding of abandonment under 37 CFR §1.181 or, in the alternative, under 37 CFR §1.37(a) and/or (b). The undersigned received a Decision of the Petition on December 12, 2003 denying the petition under 37 CFR §1.181.
- 9. The undersigned telephoned the Office of Petitions on January 20, 2004; February 5, 2004; March 4, 2004; July 13, 2004; July 14, 2004; July 15, 2004; and July 16, 2004 to follow up on the petition and to request consideration in the alternative under 37 CFR §1.137(a) and/or (b) after which time the undersigned received a Decision on Petition denying the petition under 37 CFR §1.137(a) but holding the petition under 37 CFR §1.137(b) in abeyance.
- 10. The undersigned was then informed that the petition had been forwarded to the Examiner in charge of the case for consideration. The undersigned followed up telephonically with the Examiner on December 8, 2004 and again on January 18, 2005 but did not receive a notification of when the petition would be acted upon.
- 11. In light of the above, the undersigned hereby submits a new Petition for Revival of the Application under 37 CFR §1.37(b).
- 12. The undersigned also submits herewith as Exhibit B a true and accurate copy of the Terminal Disclaimer previously submitted on June 20, 2002, signed by an attorney of record.
- 13. Authorization to charge the Statutory Fee for the Terminal Disclaimer is provided on the accompanying form PTO-2038. Please charge any additional fees or credit any overpayments to Deposit Account No. 23-3030.
- 14. The undersigned respectfully requests that the Commissioner exercise his supervisory authority and withdraw the holding of abandonment for the subject application.
- 15. The undersigned respectfully requests that the Response to Final Office Action filed on November 14, 2001 appended herewith as Exhibit A be entered; that the Terminal Disclaimer filed on June 20, 2002 and appended herewith as Exhibit B be entered; and that the subject application be passed to issuance. Furthermore, the undersigned also submits a Request

for Continued Examination in this case to allow the Examiner to fully consider the claim amendments in the Response to Final Office Action.

- 16. The undersigned states that the entire delay in filing the required reply from the due date until the filing of the grantable petition pursuant to 35 USC. § 1.137(b) was unintentional.
- 17. I declare that all statements made herein of my own knowledge are true and that all statements made on information are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Bv:

James B. Myers

Reg. No. 42,021

Woodard, Emhardt, Moriarty McNett & Henry LLP

Bank One Center/Tower

111 Monument Circle, Suite 3700 Indianapolis, Indiana 46204-5137

(317) 713-4948

FORM PTO-1619C Expires 08/30/99 OMB 0851-0027

# CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

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Name (line 2)					receiving party is not domiciled in the United
Address (line 1)					States, an appointment of a domestic representative is attached. (Designation
Address (line i)					must be a separate document from
Address (line z)					Assignment)
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Name (line 1)					If document to be recorded is an assignment and the
Name (line 2)					receiving party is not domiciled in the United States, an appointment of a
Address (line 1)					domestic representative is attached. (Designation must be a separate document from
Address (line 2)					Assignment)
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	Patent Application Number or th		L		me property).
	ent Application Number(s		ř	Patent Numb	
08/948.135					
L	L				

#### **ASSIGNMENT**

WHEREAS, Bradley A. Coates, James Van Hoeck and Jeffery Poyner, of 1760 Nuckolls Road, Rossville, TN 38066; 754 Tealwood Lane, Cordova, TN 38018; and 234 Oxford Drive, Atoka, TN 38004, hereinafter referred to as Assignors, have made a new and useful invention in BONE GRAFT for which Assignors have made or will make application for Letters Patent of the United States; and

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business 1800 Pyramid Place, Memphis, TN 38132 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for an in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignors this 364 day of Colour, 1997, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof,

and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (\*\*) the date and serial number of said application when officially known and Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

\*\*Serial No. 08/948,135 Filed October 9, 1997 WITNESS Assignors' hands this 3rd day of October, 1997

Bradley K. Coefes

J. Bradley K. Coefes

James E. Va Hoeck

James Van Hoeck

Jeffrey W. Poyner J. H.

3



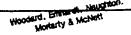
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MARCH 19, 2002

PTAS.

WOODARD, EMHARDT, NAUGHTON, ET AL JAMES B. MYERS, JR. 111 MONUMENT CIRCLE, STE. 3700 INDIANAPOLIS, IN 46204-5137



Chief Information Officer Washington, DC 20231 www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/17/2002

REEL/FRAME: 012491/0666

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COATES, BRADLEY J.

DOC DATE: 10/03/1997

ASSIGNOR:

VAN HOECK, JAMES

DOC DATE: 10/03/1997

ASSIGNOR:

JEFFREY W. POYNTER,

DOC DATE: 10/03/1997

**ASSIGNEE:** 

SDGI HOLDINGS, INC. 1800 PYRAMID PLACE

MEMPHIS, TENNESSEE 38132

SERIAL NUMBER: 09448086

FILING DATE: 11/23/1999

ISSUE DATE: PATENT NUMBER:

012491/0666 PAGE 2

TONYA LEE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 01-25-2002

WENMM-1595 (05/01)

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)	US.S Patent and Trademark Office			
Tab settings → → → 101963	867 ▼ ▼ ▼			
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies):  Bradley J. Coates James Van Hoeck Jeffrey W. Poynter  Additional name(s) of conveying party(ies) attacked to the convey and the conveyance:  Assignment  Security Agreement  Change of Name  Other	2. Name and address of receiving party(ies)  Name: SDGI Holdings, Inc.  Internal Address:  Street Address: 1800 Pyramid Place			
Execution Date: October 3, 1997	City: Memphis State: Tennessee Zip: 38132  Additional name(s) & address(es) attached? ☐ Yes ☒ No			
Application number(s) or registration number(s):				
If this document is being filed together with a new applicat	ion, the execution date of the application is:			
A. Patent Application No.(s) 09/448,086	B. Patent No.(s)			
Additional number(s) at	Itached? ☐ Yes ☑ No			
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 1			
Name: James B. Myers, Jr. Internal Address: Woodard, Emhardt, Naughton, Moriarty & McNett	7. Total fee (37 CFR 3.41) \$\\$\ 40.00\$  \[ \begin{align*} \text{ Enclosed} \\ \text{ Authorized to be charged to deposit account} \]  8. Deposit account number:			
Street Address: Bank One Center/Tower, 111 Monument  Circle, Suite 3700  City: Indianapolis State: Indiana Zip: 46204-5137	23-3030  (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE				
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.    James B. Myers, Jr.   James B. Myers, Jr.   November 14, 2001				
Mail documents to be recorded with required cover sheet information to:				

#### **ASSIGNMENT**

WHEREAS, Bradley A. Coates, James Van Hoeck and Jeffery Poyner, of 1760.

Nuckolls Road, Rossville, TN 38066; 754 Tealwood Lane, Cordova, TN 38018; and

234 Oxford Drive, Atoka, TN 38004, hereinafter referred to as Assignors, have made a

new and useful invention in BONE GRAFT for which Assignors have made or will

make application for Letters Patent of the United States; and

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principa! place of business 1800 Pyramid Place, Memphis, TN 38132 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for an in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignors this 314 day of 1997, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof,

and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (\*\*) the date and serial number of said application when officially known and Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

<sup>\*\*</sup>Serial No. 08/948,135 Filed October 9, 1997



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 28, 1998

PTAS

WOODARD, EMHARDT ET AL J. ANDREW LOWES 111 MONUMENT CIRCLE, SUITE 3700 BANK ONE CENTER/TOWER INDIANAPOLIS, INDIANA 46204-5137



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 08/07/1998

REEL/FRAME: 9366/0071

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

COATES, BRADLEY J.

DOC DATE: 10/03/1997

**ASSIGNOR:** 

VAN HOECK, JAMES

DOC DATE: 10/03/1997

ASSIGNOR:

POYNER, JEFFERY W.

DOC DATE: 10/03/1997

ASSIGNEE:

SDGI HOLDINGS, INC. 1800 PYRAMID PLACE MEMPHIS, TENNESSEE 38132

SERIAL NUMBER: 08948135

PATENT NUMBER:

FILING DATE: 10/09/1997

ISSUE DATE:

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STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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FORM FTO	ATENT & TRACE AND Trademan	08-11-1998  100789640  ATION FORM COV PATENTS ONL ks: Please record the a	/ER SHEE	T A	J.S. Department of Commerce Palent and Trademark Office PATENT  J.S. Department of Commerce Palent and Trademark Office PATENT  J.S. Department of Commerce Palent and Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department of Commerce Palent And Trademark Office PATENT  J.S. Department And Trademark
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Second Party			<del></del>		Execution Date Month Day Year
Name (line 1)	James Van Hoeck				10 3 97
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### Page 2

LS.	Department of Commerce
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Correspondent Name and Address  Area Code and Telephone Number (317) 634-3456				
Name J. Andrew Lowes				
Address (No. 1) Woodard, Emhardt, Naughton, Moriarty & McNett				
Address (line 2) 111 Monument Circle, Suite 3700				
Address (line 3) Bank One Center/Tower				
Address (line 4) Indianapolis, Indiana 46204-5137				
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## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. 4002-1643

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention in BONE GRAFT, the specification of which

(cneck one)	[] wa	attached hereto.  Is filed on as App  Id was amended on		
•		e reviewed and understand the ne claims, as amended by any a		
	_	to disclose information which ance with Title 37, Code of Fe		
foreign applidentified be	lication(s) for	iority benefits under Title 35, r patent or inventor's certificing application for patent or in plication on which priority is continuous control of the con	cate listed be ventor's certi	elow and have also
Prior Foreig	n Application	(s)	Priority C	Claimed
(Number)	(Country)	(Day/Month/Year Filed)	Yes N	RECEIVED
Number)	(Country)	(Day/Month/Year Filed)	Yes No	ALIV =
Number	(Country)	(Day/Month/Vear Filed)	Vec N	OFFICE OF PETITIONS

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided

С

by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patent/pending/abandoned)	
•			
(Application Serial No.)	(Filing Date)	(Status - patent/pending/abandoned)	

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Harold R. Woodard, No. 16,214; C. David Emhardt, No. 18, 483; Joseph A. Naughton, Jr., No. 19, 814; John V. Moriarty, No. 26,207; John C. McNett, No. 25,533; Thomas Q. Henry, No. 28,309; James M. Durlacher, No. 28,840; Charles R. Reeves, No. 28,750; Vincent O. Wagner, No. 29,596; Steve Zlatos, No. 30,123; Spiro Bereveskos, No. 30,821; William F. Bahret, No. 31,087; Clifford W. Browning, No. 32,201; R. Randall Frisk, No. 32,221; Daniel J. Lueders, No. 32,581; Michael D. Beck, No. 32,722; Kenneth A. Gandy, No. 33,386; Timothy N. Thomas, No. 35,714; Kerry P. Sisselman, No. 37,237; Kurt N. Jones, 37,996; John H. Allie, No. 39,088; Jeffrey A. Michael, No. 37,394; Deborah R. Beck, No. 37,370; Holiday W. Banta, No. 40,311; Troy J. Cole, No. 35,102; L. Scott Paynter, No. 39,797; Lisa A. Hiday, No. 40,036; Darrin Wesley Harris, No. P40,636; Matthew R. Schantz, No. P40,800; and Gregory B. Coy, No. P40,967.

Address all telephone calls to:

Michael D. Beck at (317) 634-3456

Address all correspondence to: Michael D. Beck, Esquire

Woodard, Emhardt, Naughton, Moriarty & McNett

Bank One Center/Tower

111 Monument Circle, Suite 3700 Indianapolis, Indiana 46204-5137

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of sole or first inventor: Bradley A. Coates

Post Office Address: 234 Oxford Drive, Atoka TN 38004

Citizenship: U.S.A.

Inventor's Date of Signature: 10/1/92 Signature: Residence: 1760 Nuckolls Read, Rossville, TN 38066 Citizenship: U.S.A. Post Office Address: 1760 Nuckolls Road, Rossville, TN 38066 Full name of second inventor: James Van Hoeck Inventor's Date of Signature: 9-30-97 Signature: Residence: 754 Tealwood Lane, Cordova, TN 38018 Citizenship: U.S.A. Post Office Address: 754 Tealwood Lane, Cordova, TN 38018 Full name of third inventor: Jeffery Poyner Jeffrey W. Poyner Inventor's Date of Signature: 9/3 Signature: Residence: 234 Oxford Drive, Atoka TN 38004

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Matter No./Case No. 4002-2236	Initials/Date: JBM/1g 6/20/02
(x) Patent () Trademark () Copyright  Serial No. 09/448,086  Applicant Coates et al. BONE GRAFTS  (x) This return postcard (x) Petition to withdraw aband. (x) Exhibit A (x) Exhibit B (x) Exhibit C	() Application #of pages () Check for \$ () #sheets of drawings () Declaration & Power of Attorney () Assignment & Recordation cover sheet () Information Disclosure Statement () Transmittal Form /PTO Form () Fee Transmittal /PTO Form () Amendment/ Response to Office Action () Request for Extension of Time () Statement of Use () Specimen(s) #

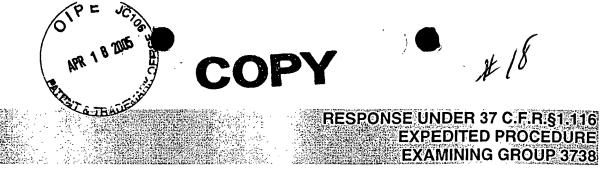
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& Petition to Revive 37CFR1.131	(b) Request for Extension of Time
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Atty. Dkt. 4002-2236

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:	) ) Examiner	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an
Bradley J. Coates et al.	) Isabella	envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on: November 14, 2001
Serial No. 09/448,086	)	JAMES B. MYERS, JR.
Filed: November 23, 1999	) Group Art Unit 3738	Marne of Registered Representative
Filed. November 25, 1999	) ) Submitted	Janus B. Myers Grand Signature
BONE GRAFTS	) November 14, 2001	November 14 2007
		Date of Signature

# AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION UNDER 37 C.F.R. §1.116

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Hon. Commissioner for Patents Washington, D.C. 20231

OFFICE OF PETITIONS

Sir:

In response to the Office Action dated August 14, 2001, Applicants respectfully request entry of the following amendments and consideration of the accompanying remarks. Marked up copies of the amended claims are attached to this Response on a separate sheet entitled Version with Markings to Show Changes. An Assignment, Assignment Transmittal Form and a Terminal Disclaimer are filed concurrent with this Response. Additionally, please provide any extensions of time that may be necessary and charge any fees that may be due to Deposit Account No. 23-3030, but do not include any payment of issue fees that are or may become due.

#### **AMENDMENTS**

Please amend the following claims:

20. (Amended) A bone graft for insertion between adjacent vertebrae, said graft comprising a cortical bone slice from a long bone, said cortical bone slice comprising:

a substantially planar superior bone engaging surface;

an opposite inferior bone engaging surface; and

a wall provided between the superior bone engaging surface and the inferior bone engaging surface, said wall comprising an anterior wall portion having a convexly curved exterior surface, and an opposite posterior wall portion, wherein the graft comprises a first set of migration resistant surface features comprising grooves, teeth, blades or a combination thereof.

24. (Amended) The graft of claim 20 comprising a second set of migration resistant surface features.

Please add the following claims:

29. (Added) The graft of claim 20 wherein the inferior bone engaging surface is

substantially planar.

30. (Added) The graft of claim 20 wherein the superior bone engaging surface and

the inferior bone engaging surface include migration resistant surface features.

31. (Added) A bone graft for insertion between adjacent vertebrae, said graft

comprising a cortical bone slice from a long bone, said cortical bone slice comprising:

a superior bone engaging surface;

an opposite inferior bone engaging surface; and

a first lateral wall positioned between the inferior bone engaging surface and the

superior bone engaging surface and provided to lie substantially perpendicular to the

superior bone engaging surface; said lateral wall positioned between a posterior wall and

an anterior wall having a convexly curved exterior surface, and wherein said graft

comprises a first set of migration resistant surface features selected from the group

consisting of: grooves, teeth, blades or a combination thereof.

32. (Added) The graft of claim 31 comprising a second lateral wall opposite the

first lateral wall.

33. (Added) The graft of claim 32 wherein the second lateral wall is provided to lie

substantially perpendicular to the superior bone engaging surface.

34. (Added) The graft of claim 31 wherein the posterior wall is substantially planar.

35. (Added) The graft of claim 31 wherein the first set of migration resistant

surface features are arranged in series.

Coates et al.; USSN 09/448,086
RESPONSE TO FINAL OFFICE ACTION

- 36. (Added) The graft of claim 31 comprising a second set of migration resistant surface features.
  - 37. (Added) The graft of claim 31 comprising an interior chamber.
- 38. (Added) The graft of claim 37 wherein the bone engaging superior surface defines a first opening and the inferior bone engaging surface defines a second opening, wherein each of the first and second openings are in communication with the chamber.
  - 39. (Added) The graft of claim 31 comprising an osteoinductive material.
  - 40. (Added) The graft of claim 31 comprising cancellous bone material.
- 41 (Added) The graft of claim 30 wherein the superior bone engaging surface and the inferior bone engaging surface comprise migration resistant surface features.

#### REMARKS

Entry of the above amendments and consideration of the following remarks are respectfully requested. Upon entry of the above amendments, this application will contain claims 1-41 pending and under consideration. Claims 1-19 stand rejected under the judicially created doctrine of obviousness-type double patenting over the claims of Coates et al. (U.S. Patent No. 5,989,289). Claims 20-28 stand rejected under 35 U.S.C. §102(b) over Carter et al. (WO/97/25,945). For the reasons discussed below, Applicants believe that the claimed invention is patentable over the cited art and request reconsideration leading to timely allowance of this application.

### Obviousness-Type Double Patenting Rejections

Claims 1-19 were rejected under the judicially created doctrine of obviousness-type double patenting over the claims of Coates et al. (U.S. Patent No. 5,989,289). Applicants' Attorney submits concurrent with this Response a Terminal Disclaimer to disclaim the terminal part of any patent granted on the present application that would extend beyond the expiration date of U.S. Patent No. 5,989,289 and the required statutory fee for filing the Terminal Disclaimer. Accordingly, Applicants believe this rejection is overcome and request withdrawal of these rejections.

### Rejections under 35 U.S.C. §102(b)

Claims 20-28 were rejected under 35 U.S.C. §102(b) over Carter et al. (WO/97/25,945). Applicants have amended claim 20 to recite that the superior bone engaging surface is substantially planar. Carter et al. discloses a dowel that is cylindrical. Consequently, the bone engaging surfaces are curved. Applicants believe the rejections over claim 20 and over claims 21-28, which depend either directly or indirectly from claim 20, are overcome. Accordingly, Applicants request withdrawal of these rejections.

### **Previously Cited References**

Applicants request that the Examiner consider the references submitted in this case on June 11, 2001, and acknowledge his consideration by initialing the citation form 1449 (modified) submitted with the references and returning a copy of the initialed form to undersigned attorney.

### Conclusion

In view of the foregoing remarks, Applicants respectfully submit that the cited references, either singly, or in combination, do not disclose or make obvious the claimed invention. Accordingly, reexamination leading to withdraw of all the outstanding rejections and passage of this application containing claims 1-41 are respectfully requested. Additionally, the Examiner is invited to telephone the undersigned attorney if there are any questions about this submission or other matters, which may be addressed in that fashion.

Respectfully submitted,

By:\_

James B. Myers Jr., Feg. No. 42,021

WOODARD EMHARDT NAUGHTON

MORIARTY & McNETT

3700 Bank One Center Tower

111 Monument Circle

Indianapolis, IN 46204-5137

(317) 634-3456

## VERSION WITH MARKINGS TO SHOW CHANGES

### In the Claims

- 20. (Amended) A bone graft for insertion between adjacent vertebrae, said graft comprising a cortical bone slice from a long bone, said cortical bone slice comprising:
  - a <u>substantially planar</u> superior bone engaging surface; an opposite inferior bone engaging surface; and
- a wall provided between the superior bone engaging surface and the inferior bone engaging surface, said wall comprising an anterior wall portion having a convexly curved exterior surface, and an opposite posterior wall portion, wherein the graft comprises a first set of migration resistant surface features comprising grooves, teeth, blades or a combination thereof.
- 24. (Amended) The graft of claim 20 comprising a second set of migration resistant surface features.



RESPONSE UNDER 37 C.F.R.§1.116
EXPEDITED PROCEDURE

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Atty. Dkt. 4002-2236

**EXAMINING GROUP 3738** 

#### DNK-1997-010-PA-CON2:JBM:139865

Hon. Commissioner for Patents

Washington, D. C. 2023

Sir:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:	) ) Examiner	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant
Bradley J. Coates et al.	) Isabella	Commissioner for Patents, Washington, D.C. 20231 on November 14, 2001.
Serial No. 09/448,086	) ) Group Art Unit 3738	PAMES B. MYERS, JR. Name of Registered Representative
Filed: November 23, 1999	) ) Submitted	Janus B. Myewy
BONE GRAFTS	) November 14, 2001	Date of Signature

TERMINAL DISCLAIMER

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The undersigned hereby represents that the owner, Medtronic Sofamor Danek,

Incorporated, has a 100% ownership interest in the above-captioned application and U.S. Patent No. 5,989,289, as indicated by assignment recorded in the U.S. Patent and Trademark Office on August 7, 1998, Reel/Frame 9366/0071 and the copy of the Assignment filed concurrent with this Terminal Disclaimer. The undersigned attorney of record, on behalf of Medtronic Sofamor Danek, Incorporated, hereby disclaims the terminal part of any patent granted on the above-captioned application that would extend beyond the expiration date of U.S. Patent No. 5,989,289, issued November 23, 1999, and hereby agrees that any patent granted on the above-identified patent application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 5,989,289. This disclaimer shall run with any patent granted on the above-captioned application and to be binding upon the grantees, their successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any

patent granted on the instant application that would extend to the expiration date of the full

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37 C.F.R.§1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any

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disclaimer filed prior to its grant.

Applicants enclose the statutory fee pursuant to 37 C.F.R. §1.20 (d) of \$110.00. In

addition, please provide any extensions of time that may be necessary and charge any fees that

may be due to Deposit Account No. 23-3030, but do not include any payment of issue fees that

are or may become due.

Respectfully submitted,

By: famus B. Myes

James B. Myers Jr., Reg. No. 42,021

Woodard, Emhardt, Naughton, Moriarty & McNett

Bank One Center Tower

111 Monument Circle, Suite 3700

Indianapolis, Indiana 46204-5137

(317) 634-3456

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James Van Hoeck	Internal Address:		
Jeffrey W. Poynter	memai Address		
Additional name(s) of conveying party(ies) attached? ☐Yes ⊠ No			
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☐ Security Agreement ☐ Change of Name	Officer Address		
☐ Other			
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Execution Date: October 3, 1997			
;	Additional name(s) & address(es) attached?  Yes  No		
4. Application number(s) or registration number(s):			
If this document is being filed together with a new applicati	on, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)		
09/448,086			
	tached? Yes X No		
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Name: <u>James B. Myers, Jr.</u>			
Internal Address: Woodard, Emhardt, Naughton, Moriarty	⊠ Enclosed		
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Street Address: Bank One Center/Tower, 111 Monument	23-3030		
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City: Indianapolis State: Indiana Zip: 46204-5137			
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of the original document.	1		
//	B. Myess (1 November 14, 2001		
James B. Myers, Jr. Jamus J. Name of Person Signing	Signature November 14, 2001  Date		
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NUMBER OF PAGES: 7

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ASSIGNOR:

COATES, BRADLEY J.

DOC DATE: 10/03/1997

ASSIGNOR:

VAN HOECK, JAMES

DOC DATE: 10/03/1997

ASSIGNOR:

POYNER, JEFFERY W.

DOC DATE: 10/03/1997

ASSIGNEE:

SDGI HOLDINGS, INC. 1800 PYRAMID PLACE MEMPHIS, TENNESSEE 38132

SERIAL NUMBER: 08948135

PATENT NUMBER:

FILING DATE: 10/09/1997

ISSUE DATE:

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9366/0071 PAGE 2

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## ASSIGNMENT

WHEREAS, Bradley A. Coates, James Van Hoeck and Jeffery Poyner, of 1760 Nuckolls Road, Rossville, TN 38066; 754 Tealwood Lane, Cordova, TN 38018; and 234 Oxford Drive, Atoka, TN 38004, hereinafter referred to as Assignors, have made a new and useful invention in **BONE GRAFT** for which Assignors have made or will make application for Letters Patent of the United States; and

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business 1800 Pyramid Place, Memphis, TN 38132 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for an in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignors this 364 day of Colour, 1997, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof,

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and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (\*\*) the date and serial number of said application when officially known and Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

<sup>\*\*</sup>Serial No. 08/948,135 Filed October 9, 1997

WITNESS Assignors' hands this 3rd day of October, 1997.

Bradley K. Coates
J. Bradley K. Coates
J. Bradley K. Coates
James V. Va Hoeck

James Van Hoeck

Jeffery Poyner

Jeffrey W. Poyner 95 pt

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Filed: November 23, 1999	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	James B. Myers
BONE GRAFTS		Signature  June 20, 2002  Date of Signature

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The undersigned hereby represents that the owner, Medtronic Sofamor Danek,
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No. 5,989,289, as indicated by assignment recorded in the U.S. Patent and Trademark Office on
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111 Monument Circle, Suite 3700

Indianapolis, Indiana 46204-5137

(317) 634-3456

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ASSIGNOR:

COATES, BRADLEY J.

DOC DATE: 10/03/1997

ASSIGNOR:

VAN HOECK, JAMES

DOC DATE: 10/03/1997

ASSIGNOR:

POYNER, JEFFERY W.

DOC DATE: 10/03/1997

ASSIGNEE:

SDGI HOLDINGS, INC. 1800 PYRAMID PLACE MEMPHIS, TENNESSEE 38132

SERIAL NUMBER: 08948135

PATENT NUMBER:

FILING DATE: 10/09/1997

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